SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

1. Name and Address of Reporting Person* 2. D Ret Ret (Model) 01/				ement	3. Issuer Name and Ticker or Trading Symbol Orchard Therapeutics plc [ORTX]						
(Last) (First) (Middle) C/O ORCHARD THERAPEUTICS PLC					4. Relationship of Reporting Person(s) to Issuer (Check all applicable)			5. If Amendment, Date of Original Filed (Month/Day/Year)			
108 CANNO			X	Director Officer (give title	10% Owne		Clad	inidual ar laint			
			below) below)				6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street)				See Remarks			X Form filed by One Reporting Person				
LONDON	X0	EC4N 6EU								Form filed by Reporting Pe	/ More than One erson
(City)	(State)	(Zip)									
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)						nt of Securities ally Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Ordinary Shares ⁽¹⁾						93,796	D	D			
Ordinary Shares ⁽¹⁾						10,000	I S		See Footnote ⁽²⁾		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securiti Underlying Derivative Security		(Instr. 4) Con		ersion ercise	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Date Exercisable	Expiration Date	Title		Amount or Number of Shares	Deriv Secu	ative	or Indirect (I) (Instr. 5)	
Stock Option	ock Option (Right to Buy)		(3)	10/25/2027	C	Ordinary Shares ⁽¹⁾	1,544,698	2.44		D	
Stock Option	(Right to Buy)		(4)	02/06/2028	C	Ordinary Shares ⁽¹⁾	436,686	2.44		D	
Stock Option (Right to Buy)		(5)	09/12/2028	C	Ordinary Shares ⁽¹⁾	410,289	9.	.06	D		
Stock Option (Right to Buy)		(6)	01/15/2029	C	Ordinary Shares ⁽¹⁾	415,000	12	.54	D		

Explanation of Responses:

1. The Ordinary Shares may be represented by American Depositary Shares, each of which currently represents one Ordinary Share.

2. The shares are held by the Rothera Family Trust U/A dated 9/24/15 with the Reporting Person's spouse as beneficiary and trustee.

3. 393,670 shares subject to this option vested on September 4, 2018 and the remaining shares vest in equal monthly installments over the three years thereafter.

4. 109,173 shares subject to this option vested on February 7, 2019 and the remaining shares vest in equal monthly installments over the three years thereafter.

5. The shares subject to this option vest in equal monthly installments over the four years after September 13, 2018.

6. The shares subject to this option vest in equal monthly installments over the four years after January 16, 2019.

Remarks:

Exhibit 24 - Power of Attorney Title: President and Chief Executive Officer

/s/ Mark Rothera

** Signature of Reporting Person

01/02/2020

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

LIMITED POWER OF ATTORNEY

The undersigned hereby constitutes and appoints each of John Ilett and Frank Thomas, signing singly, and with full power of substitution, the undersigned's true and lawful attorney-in-fact to: execute for and on behalf of the undersigned, in the undersigned's (1)capacity as officer and/or director of Orchard Therapeutics plc (the "Company"), from time to time the following U.S. Securities and Exchange Commission ("SEC") forms: (i) Form ID, including any attached documents (such as Update Passphrase Authentication), to effect the assignment of codes to the undersigned to be used in the transmission of information to the SEC using the EDGAR System; (ii) Form 3, Initial Statement of Beneficial Ownership of Securities. including any attached documents; (iii) Form 4, Statement of Changes in Beneficial Ownership of Securities, including any attached documents; (iv) Form 5, Annual Statement of Beneficial Ownership of Securities in accordance with Section 16(a) of the Securities Exchange Act of 1934, as amended, and the rules thereunder, including any attached documents; (v) Schedule 13D and (vi) amendments of each thereof, in accordance with the Securities Exchange Act of 1934, as amended, and the rules thereunder, including any attached documents; do and perform any and all acts for and on behalf of the undersigned (2)which may be necessary or desirable to complete and execute any such Form 3, 4 or 5, Schedule 13D or any amendment(s) thereto, and timely file such form(s) with the SEC and any securities exchange, national association or similar authority; and take any other action of any type whatsoever in connection with the (3) foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion. The undersigned hereby grants to each such attorney-in-fact, acting singly, full power and authority to do and perform any and every act and thing whatsoever requisite, necessary or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 or Regulation 13D-G of the Securities Exchange Act of 1934, as amended. The undersigned hereby agrees to indemnify the attorneys-in-fact and the Company from and against any demand, damage, loss, cost or expense arising from any false or misleading information provided

by the undersigned to the attorneys-in-fact.

This Power of Attorney shall be governed by, and construed in accordance with, the laws of the state of Delaware. This Power of Attorney shall remain in full force and effect until the

undersigned is no longer required to file such forms with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact. This Power of Attorney supersedes any prior power of attorney in connection with the undersigned's capacity as an officer and/or director of the Company. This Power of Attorney shall expire as to any individual attorney-in-fact if such attorney-in-fact ceases to be an officer of, or legal counsel to, the Company.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of January 2, 2020.

/s/ Mark Rothera Mark Rothera